

## INSTRUCTIONS FOR PROOF OF CLAIM AND RELEASE

In order for you to qualify to participate in the distributions described in the Notice of Proposed Class Action Settlement (“Notice”), you must file a proof of claim and release in the attached form and you must provide the required documentation to substantiate your claim. You should review the Notice prior to completing this Proof of Claim and Release form (“Proof of Claim”). If you need a copy of the Notice, you can obtain one from the Claims Administrator by calling 866-905-8127 or going to the website [www.ldksolarsecuritieslitigation.com](http://www.ldksolarsecuritieslitigation.com).

### REQUIREMENTS FOR FILING A PROOF OF CLAIM

Your claim will be considered for payment only upon compliance with all of the following conditions:

1. You must accurately complete all portions of the attached Proof of Claim.

**NOTE:** The Proof of Claim contains several acquisition and sale schedules. You must carefully complete each applicable section of the schedules. Do not omit any requested information regarding your holdings, acquisitions, and sales (including any free receipts and/or free deliveries) of the applicable securities. This information is necessary to determine your share of any distribution. If you cannot list all transactions in the spaces provided in the Proof of Claim, or if you believe that you must or should supply additional information with respect to any transaction, attach additional sheets to the Proof of Claim supplying the required information. Your name and tax identification number (TIN) must be properly identified on each additional sheet of paper.

2. You must **sign** the Proof of Claim.

**NOTE:** If the applicable securities were or are owned jointly, all joint owners must sign the Proof of Claim. Executors, administrators, guardians, conservators, and trustees may complete and sign the Proof of Claim on behalf of persons or entities represented by them, but they must identify such persons or entities and provide proof of their authority (for example, currently effective letters testamentary or letters of administration) to complete and execute the Proof of Claim on their behalf and to bind them in accordance with the terms thereof. A Proof of Claim submitted by legal representatives of a claimant must be executed by all such representatives.

3. You must attach to the Proof of Claim legible copies of broker confirmation slips, monthly brokerage statements, or other proof satisfactory to the Claims Administrator confirming the particulars of each acquisition, transfer, and sale you have made of the applicable securities from June 1, 2007, through January 3, 2008, inclusive. Please make sure that all supporting documentation states your account name. If you are filing on behalf of a deceased claimant, please provide a copy of the death certificate and a will or probate documents.

**You must also provide supporting documentation showing your holdings as of the close of trading on January 3, 2008, for each security.**

4. By submitting the Proof of Claim, you expressly agree to the following:
  - a. you agree to the terms of the release that are contained in the Stipulation of Settlement (the “Stipulation”) and the Notice; and
  - b. you consent to the jurisdiction of the United States District Court for the Northern District of California (the “Court”), for purposes of making a claim.
5. You must mail the completed and signed Proof of Claim and supporting documents by First-Class Mail, postage prepaid, postmarked no later than **AUGUST 16, 2010**, to:

**LDK SECURITIES LITIGATION  
CLAIMS ADMINISTRATOR  
C/O A.B. DATA, LTD.  
PO BOX 170500  
MILWAUKEE, WI 53217-8042**

ANY PERSON WHO KNOWINGLY SUBMITS A FALSE PROOF OF CLAIM IS SUBJECT TO PENALTIES FOR PERJURY AND OTHER VIOLATIONS OF FEDERAL LAW. Submission of this Proof of Claim, however, does not ensure that you will share in the distribution of the Net Settlement Fund.

6. See the Plan of Allocation on the website [www.ldksolarsecuritieslitigation.com](http://www.ldksolarsecuritieslitigation.com) for more information helpful for filling out the Proof of Claim.

**Must be returned no later  
than August 16, 2010**

*In re LDK Solar Securities Litigation, Master File No. C 07-05182 WHA*

**PROOF OF CLAIM AND RELEASE**

Please print or type

  
**For Official Use Only**

Last Name (Claimant)

First Name (Claimant)

Last Name (Beneficial Owner If Different From Claimant)

First Name (Beneficial Owner)

Last Name (Co-Beneficial Owner)

First Name (Co-Beneficial Owner)

Company/Other Entity (If Claimant Is Not an Individual)

Record Owner's Name (If Different From Beneficial Owner Listed Above, e.g., brokerage firm, bank, nominee, etc.)

Account Number (If Claimant Is Not an Individual)

Trust/Other Date (If Applicable)

Address Line 1

Address Line 2 (If Applicable)

City

State

Zip Code

Foreign Province

Foreign Zip Code

Foreign Country

**Check Here to Use Alternate Address for Distribution**

(Optional) Distribution Address

Distribution Address Line 1

Distribution Address Line 2 (If Applicable)

City

State

Zip Code

Foreign Province

Foreign Zip Code

Foreign Country

Telephone Number (Day) ( ) - ( ) Telephone Number (Night) ( ) - ( )

Beneficial Owner's Employer Identification Number or Social Security Number -

Email Address

IDENTITY OF CLAIMANT:  Individual or Sole Proprietor  Joint Owners  Estate  Corporation  Trust  Partnership  IRA  Other (specify, describe on separate sheet)

**Legal representative of claimants must attach power of attorney or other instrument showing authority to act as legal representative.**

The Defendants in this case are LDK Solar Co., Ltd. ("LDK" or the "Company"); LDK Solar USA, Inc.; Xiaofeng Peng, Jack Lai, Xingxue Tong, Yonggang Shao, Liangbao Zhu, Qiqiang Yao, and Gang Wang. The Defendants and the other current and former officers and directors of the Company, their immediate families, their heirs, successors, or assigns and any entity controlled by any such person are excluded from the Class.

Check here if the claimant or beneficial owner is excluded from the Class.

**SCHEDULE OF ACQUISITIONS AND SALES OF LDK AMERICAN DEPOSITORY SHARES ("ADS") AND OPTIONS THEREON DURING THE CLASS PERIOD**

Separately list each of your acquisitions, transfers, and sales of LDK ADS and options thereon. Attach a separate schedule if more space is needed. Be sure to include your name on any separate sheets. For purposes of this schedule, you should list all transactions (including free receipts and/or free deliveries) during and beyond the Class Period as requested, from June 1, 2007, through January 3, 2008, inclusive.

The date of acquisition and sale is the "trade" or "contract" date, and not the "settlement" or "payment" date. The acquisition price is the price paid without regard to commissions or other expenses. The sale price is the price received without regard to commissions or other expenses.

**SCHEDULE OF TRANSACTIONS IN LDK ADS**

**LDK's common stock trades on the New York Stock Exchange in the form of American Depository Shares. IF YOU BELIEVE YOU PURCHASED LDK COMMON STOCK IN THE UNITED STATES, YOU LIKELY PURCHASED LDK ADS.**

**If you did not own any LDK ADS prior to June 1, 2007, check here .**

LDK ADS began to trade on June 1, 2007. You likely did not own any LDK ADS prior to June 1, 2007. You do not need to enclose proof that you did not own LDK ADS prior to June 1, 2007.

<b>BEGINNING HOLDINGS OF LDK ADS:</b> If you owned any LDK ADS prior to June 1, 2007, please state the number of LDK ADS that you owned as of the close of business on May 31, 2007.	Proof enclosed? <input type="radio"/> Y <input type="radio"/> N
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Set forth below all of your transactions in LDK ADS during the dates specified:

<b>ACQUISITIONS OF LDK ADS:</b> List the date, number of shares acquired, and price paid per share for each acquisition you made of LDK ADS from June 1, 2007, through January 3, 2008, inclusive.	If none, check here <input type="checkbox"/>
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Date(s) of acquisition List chronologically MM DD YYYY	Number of shares acquired	Acquisition price per share *	Proof of acquisition enclosed?
/ /		\$ .	<input type="radio"/> Y <input type="radio"/> N
/ /		\$ .	<input type="radio"/> Y <input type="radio"/> N
/ /		\$ .	<input type="radio"/> Y <input type="radio"/> N

\*without regard to commissions, fees, and taxes.

**SALES OF LDK ADS:**

List the date, number of shares sold, and price received per share for each sale you made of LDK ADS from June 1, 2007, through January 3, 2008, inclusive.

If none, check here

Date(s) of sale List chronologically			Number of shares sold	Sale price per share *	Proof of sale enclosed?
MM	DD	YYYY			
	/	/		\$ .	<input type="radio"/> Y <input type="radio"/> N
	/	/		\$ .	<input type="radio"/> Y <input type="radio"/> N
	/	/		\$ .	<input type="radio"/> Y <input type="radio"/> N

\*without regard to commissions, fees, and taxes.

**UNSOLD HOLDINGS OF LDK ADS:**

Please state the number of LDK ADS that you held as of the close of trading on January 3, 2008.

Proof enclosed?

If none, check here

Y  N

**SCHEDULE OF TRANSACTIONS IN LDK OPTIONS**

If you did not own any LDK Options prior to June 1, 2007, check here .

LDK ADS began to trade on June 1, 2007. You likely did not own any LDK ADS options prior to June 1, 2007. You do not need to enclose proof that you did not own LDK ADS options prior to June 1, 2007.

**BEGINNING HOLDINGS OF LDK OPTIONS:**

Please state the number of LDK options that you owned as of the close of business on May 31, 2007.

If none, check here

- Put
- Call
- Put
- Call

Number of contracts	Date & strike price of option contract (i.e., 12/\$80)	Total amount paid for option contracts (only if exercised)	Proof enclosed?
	/	\$ .	<input type="radio"/> Y <input type="radio"/> N
	/	\$ .	<input type="radio"/> Y <input type="radio"/> N

**ACQUISITION OF LDK OPTIONS:**

List by date, number of option contracts acquired, expiration date (month/year), strike price, and price paid per contract for each acquisition you made of LDK options from June 1, 2007, through January 3, 2008, inclusive. Please indicate if option was assigned, exercised, or expired.

If none, check here

Option type	Date(s) of acquisition List chronologically			Number of option contracts acquired	Expiry month & year		Strike price	Acquisition price per option contract *	E[X]pired [A]ssigned [E]xercised	Proof of acquisition and status enclosed?
	MM	DD	YYYY		MM	YY				
<input type="radio"/> Put <input type="radio"/> Call		/	/			/	\$ .	\$ .		<input type="radio"/> Y <input type="radio"/> N
<input type="radio"/> Put <input type="radio"/> Call		/	/			/	\$ .	\$ .		<input type="radio"/> Y <input type="radio"/> N
<input type="radio"/> Put <input type="radio"/> Call		/	/			/	\$ .	\$ .		<input type="radio"/> Y <input type="radio"/> N

\*without regard to commissions, fees, and taxes.

**SALES OF LDK OPTIONS:** List by date, number of option contracts sold, expiration date (month/year), strike price, and price received per contract for each sale you made of LDK options from June 1, 2007, through January 3, 2008, inclusive: If none, check here

Option type	Date(s) of sale List chronologically			Number of option contracts sold	Expiry month & year		Strike price		Sale price per option contract *		E[X]pired [A]ssigned [E]xercised	Proof of sale and status enclosed?	
	MM	DD	YYYY		MM	YY							
<input type="radio"/> Put <input type="radio"/> Call		/	/			/		\$	.	\$	.	<input type="checkbox"/>	<input type="radio"/> Y <input type="radio"/> N
<input type="radio"/> Put <input type="radio"/> Call		/	/			/		\$	.	\$	.	<input type="checkbox"/>	<input type="radio"/> Y <input type="radio"/> N
<input type="radio"/> Put <input type="radio"/> Call		/	/			/		\$	.	\$	.	<input type="checkbox"/>	<input type="radio"/> Y <input type="radio"/> N

\*without regard to commissions, fees, and taxes.

**UNSOLD/UNEXERCISED HOLDINGS OF LDK OPTIONS:** Please state the number of LDK options that you owned as of the close of trading on January 3, 2008:

If none, check here

	Number of contracts	Date & strike price of option contract (i.e., 12/\$80)	Proof enclosed?
<input type="radio"/> Put <input type="radio"/> Call		/	<input type="radio"/> Y <input type="radio"/> N
<input type="radio"/> Put <input type="radio"/> Call		/	<input type="radio"/> Y <input type="radio"/> N

IF YOU REQUIRE ADDITIONAL SPACE, ATTACH EXTRA SCHEDULES IN THE SAME FORMAT AS ABOVE. PRINT THE FULL NAME AND TIN OF THE BENEFICIAL OWNER ON EACH ADDITIONAL PAGE.

**SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS**

I submit this Proof of Claim under the terms of the Stipulation. I also submit to the jurisdiction of the United States District Court for the Northern District of California, with respect to my claim as a Class Member (as defined in the Notice) and for purposes of enforcing the release set forth herein. I further acknowledge that I am bound by and subject to the terms of any judgment that may be entered in the Action. I agree to furnish additional information to the Claims Administrator to support this claim if required to do so. I have not submitted any other claim covering the same purchases and sales of LDK Securities listed herein and know of no other person or entity having done so on my behalf.

**DEFINITIONS AND RELEASE**

I hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, relinquish, and discharge all of the Released Claims against each and all of the Released Persons, defined in the Stipulation and set forth below.

“Released Persons” means Defendants and their respective Related Parties.

“Related Parties” means Defendants and their respective past or present subsidiaries, parents, successors and predecessors, officers and directors, shareholders, partners, agents, employees, attorneys, insurers, auditors, spouses, immediate family members, and any person, firm, trust, corporation, officer, director or other individual or entity in which Defendants, or any of them, has or had a controlling interest or which is related to or affiliated with Defendants, or any of them, and the respective legal representatives, heirs, successors in interest or assigns of Defendants, or any of them.

“Released Claims” means any and all claims, debts, demands, rights or causes of action or liabilities whatsoever, whether based on federal, state, local, statutory or common law or any other law, rule or regulation, whether fixed or contingent, accrued or un-accrued, liquidated or un-liquidated, at law or in equity, matured or un-matured, whether class, and/or individual in nature, including both known claims and “Unknown Claims” (as defined below), (i) that have been asserted in this Action by Lead Plaintiff against any of the Released Persons, or (ii) that could have been asserted in this Action, or in any other action or forum by Lead Plaintiff and/or members of the Class or any of them against any of the Released Persons which arise out of or are based upon or relate in any way to the allegations, transactions, facts, matters or occurrences, representations or omissions involved, set forth, or referred to in the Action, and which relate to the purchase or sale of LDK ADS, the purchase of call options for LDK ADS, or the sale of put options for LDK ADS during the Class Period. Notwithstanding the foregoing, “Released Claims” does not include claims asserted in the shareholder derivative action entitled *Coonerty v. Xiaofeng Peng, et al.*, Superior Court of California, County of Santa Clara, Case No. 108 CV 103758.



Enter U.S. taxpayer identification number (TIN) on appropriate line, if applicable. For individuals, this is your Social Security number (SSN). If you are not an individual or you are an individual who is an employer or who is engaged in a U.S. trade or business as a sole proprietor, you must enter an employer identification number (EIN). If you are a disregarded entity claiming treaty benefits as a hybrid entity, enter your EIN. If you are a non-United States citizen and have no SSN or EIN, please check "Not Applicable."

Employer Identification Number (for estates, trusts, corporations, etc.)

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Social Security Number (for individuals)

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Not Applicable

**The Internal Revenue Service does not require your consent to any provision other than the certifications required to avoid backup withholding.**

Signature of Owner

Date

Print name here

Signature of Joint Owner (if any)

Date

Print name here

Capacity of person signing (Executor, President, Trustee, etc.)

If the claimant is other than an individual, or if the claimant is not the person completing this form, the following must also be provided:

Name of person signing

Capacity of person signing (Executor, President, Trustee, etc.)

Date

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.  
THANK YOU FOR YOUR PATIENCE.**

**REMINDER CHECKLIST**

- 1. PLEASE SIGN THE ABOVE RELEASE AND DECLARATION; IF THIS CLAIM IS BEING MADE ON BEHALF OF JOINT OWNERS, BOTH MUST SIGN.**
- 2. REMEMBER TO ATTACH SUPPORTING DOCUMENTATION. DO NOT USE A HIGHLIGHTER ON THIS FORM OR ANY SUPPORTING DOCUMENTS.**
- 3. DO NOT SEND ORIGINAL STOCK CERTIFICATES OR OTHER ORIGINAL DOCUMENTATION; PLEASE SEND ONLY COPIES.**
- 4. KEEP A COPY OF YOUR PROOF OF CLAIM AND SUPPORTING DOCUMENTATION FOR YOUR RECORDS; ORIGINALS CANNOT BE RETURNED TO YOU ONCE THE DOCUMENTS ARE SUBMITTED.**
- 5. IF YOU DESIRE AN ACKNOWLEDGMENT OF RECEIPT OF YOUR PROOF OF CLAIM, PLEASE SEND IT CERTIFIED MAIL, RETURN RECEIPT REQUESTED.**
- 6. IF YOU MOVE OR CHANGE YOUR NAME AFTER SUBMITTING THIS PROOF OF CLAIM, PLEASE NOTIFY THE CLAIMS ADMINISTRATOR.**