MUST BE POSTMARKED NO LATER THAN JULY 13, 2013

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA
WESTERN DIVISION
A-Power Securities Litigation
Case No. 2:11-ml-2302-GW-(CWx)

FOR OFFICIAL USE ONLY

PROOF OF CLAIM AND RELEASE

USE BLUE OR BLACK INK ONLY – PLEASE PRINT

PART I: CLAIMANT IDENTIFICATION

Name (Beneficial Owner)

If this account is an IRA, and if you would like any check that you MAY be eligible to receive made payable to the IRA account, please include "IRA" in the "Beneficial Owner" box above (e.g., John Doe).

Joint Beneficial Owner, if applicable

Name of Representative, if applicable (Record Owner, Custodian, Executor, Administrator, Trustee, C/O, etc.)

Address Line 1 (Number and Street or P.O. Box)

Address Line 2 (if needed)

City

State

Zip Code

OR

Foreign Province and Postal Code

Foreign Country

Telephone Number (Day)

Telephone Number (Evening)

E-mail Address

Account Number

Beneficial Owner's Social Security No. (for individuals)

OR

Taxpayer Identification No.

Specify one of the following:

☐ Individual(s) ☐ Corporation ☐ UGMA Custodian ☐ IRA ☐ Joint Owners ☐ Estate ☐ Trust

☐ Other:

PART II: SCHEDULE OF TRANSACTIONS IN A-POWER SECURITIES

A-POWER SECURITIES– CUSIP NO. G04136100 – Ticker Symbol APWR

YOU MUST SUBMIT DOCUMENTATION SUPPORTING ALL OF THE INFORMATION BELOW.

BEGINNING HOLDINGS

1. Number of shares of A-Power securities *held at the open of trading on* **March 17, 2008**:
(If none, write "zero" or "0"; if other than zero, must be documented) .... NUMBER OF SHARES

PURCHASES

2. Separately list each and every **purchase and acquisition** of A-Power securities during the period between **March 17, 2008 and December 23, 2011,** inclusive, and provide the following information (*must be documented*):

Trade Date of Purchase *(List Chronologically)* Month/Day/Year	Number of Shares Purchased or Acquired	Purchase Price Per Share	Total Amount Paid *(excl. Commissions, Taxes & Fees)*	Check Box if result of an Option Exercised	Check Box if Cover of a Short Sale
				☐	☐
				☐	☐
				☐	☐
				☐	☐

SALES

3. Separately list each and every **sale** of A-Power securities during the period between March 17, 2008 and December 23, 2011, inclusive and provide the following information (*must be* documented):

Trade Date of Sale (*List Chronologically*) Month/Day/Year	Number of Shares Sold	Sale Price Per Share	Total Amount Received *(excl. Commissions, Taxes & Fees)*	Check Box if result of an Option Assigned	Check Box if This is a Short Sale
				☐	☐
				☐	☐
				☐	☐
				☐	☐

ENDING HOLDINGS

4. State the total number of shares of A-Power securities *owned at the close of trading on* **December 23, 2011.** (If none, write "zero" or "0"; if other than zero, must be documented) .... NUMBER OF SHARES

If you require additional space, attach extra schedules in the same format as above. Copies of broker confirmations or other documentation evidencing your transactions in A-Power securities should be attached.

PART III: SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

1. I (We) submit this Proof of Claim and Release under the terms of the Stipulation and Agreement of Settlement described in the Notice. I (We) also submit to the jurisdiction of the United States District Court for the Central District of California with respect to my (our) claim as a Class Member and for purposes of enforcing the release set forth herein and any judgment which may be entered in the Action. I (We) further acknowledge that I (we) am (are) bound by and subject to the terms of any judgment that may be entered in the Action.

2. I (We) agree to furnish additional information to the Claims Administrator to support this claim if required to do so.

PART IV: RELEASE

Upon the occurrence of the Court's approval of the Settlement and its Effective Date, as detailed in the Notice, I (we) agree and acknowledge that I (we) am (are) bound by the terms of any judgment in the Litigation, and my (our) signature(s) on this document will effect and constitute a full and complete release and discharge by me (us) and my (our) current and former heirs, executors, administrators, successors, attorneys, legal representatives, and assigns of each of the "Released Persons" of all "Released Claims," as defined in the Notice. If I am (we are) submitting this Proof of Claim on behalf of a corporation, a partnership, estate or one or more other persons, this release is made by it, him, her or them, and by its, his, her or their current and former heirs, executors, administrators, successors, attorneys, legal representatives, and assigns.

PART V: SUBSTITUTE FORM W-9

Request for Taxpayer Identification Number:

Enter taxpayer identification number below for the Beneficial Owner(s). For most individuals, this is your Social Security Number. The Internal Revenue Service requires such taxpayer identification number. If you fail to provide this information, your claim may be rejected.

______________________________	______________________________
Social Security Number (for individuals)	Taxpayer Identification Number (for estates, trusts, corporations)

PART VI: REPRESENTATIONS AND CERTIFICATION

1. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.
2. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases, acquisitions and sales transactions in A-Power securities which occurred between March 17, 2008 and December 23, 2011, inclusive, as well as the number of shares of A-Power securities held by me (us) at the open of trading on March 17, 2008 and the close of trading on December 23, 2011.
3. I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a) (1) (c) of the Internal Revenue Code.

NOTE: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

Under the penalty of perjury, I (we) certify that all of the information I (we) provided on this Proof of Claim and Release is true, correct and complete and this form was executed:

this ____________ day of ____________ in ______________________

Day | Month/Year | City | State/Country

____
Signature of Beneficial Purchaser or Representative

____
Signature of Joint Beneficial Purchaser (if applicable)

____
Type or print Beneficial Purchaser name here

____
Type or print Joint Beneficial Purchaser name here

____
Capacity of person(s) signing,
e.g., Beneficial Purchaser,
Executor or Administrator

These forms and your supporting documentation must be postmarked no later than July 13, 2013 to:
A-Power Securities Litigation
c/o KCC Class Action Services
P.O. Box 43138, Providence, RI 02940-3138

ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.
THANK YOU FOR YOUR PATIENCE.

Reminder Checklist:

1. Please sign the above release and declaration.
2. Remember to attach 8 ½ x 11 photocopies of supporting documentation.
3. Do not send original stock certificates.
4. Keep a copy of your claim form for your records.
5. Within 45 days of receipt of your Proof of Claim and Release the Claims Administrator will mail you an acknowledgement postcard. If you do not receive an acknowledgment postcard within 45 days of submitting your claim please contact the Claims Administrator at APowerLitigation@kccllc.com
6. If you move, please send us your new address.